JUN 2 1 2004 P

PTO/SB/21 (02-04)

Application Number 10/811 208

TRANSMIT	TAL
FORM	

(to be used for all correspondence after initial filing)

	Application Number	10/811,298	
	Filing Date	March 26, 2004	
	First Named Inventor	Littlehorn, Sheila	
	Art Unit	3673	· · -
	Examiner Name	Not yet known	
-	Attorney Docket Number	017242-012800US	

Total Number of Pages in This Submission 4	Attornov Dooket Number	
Total Number of Lages III This Submission	Attorney Docket Number	017242-012800US
	ENCLOSURES (Check all that	apply)
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return Postcard
Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Account 20-1430.	uthorized to charge any additional fees to Deposit
	RE OF APPLICANT, ATTORN	EY, OR AGENT
Firm Townsend and Townsend or Melissa A. Haapala		No. 47,622
Signature Milat: Ca	Henh	
a a a a a a a a a a a a a a a a a a a	7/20m	
	TIFICATE OF TRANSMISSION	//MAILING
I hereby certify that this correspondence is being sufficient postage as first class mail in an envelop the date shown below.	facsimile transmitted to the USPTO or	deposited with the United States Postal Service with tents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name Nicole Wartell		
Signature	etro	Date SIIG OF

v

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 017242-012800US

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sheila Littlehorn, et al.

Application No.: 10/811,298

Filed: March 26, 2004

For: INFANT SLEEP POSITIONER

Examiner: Not yet known

Art Unit: 3673

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. U.S. patents are not enclosed in accordance with the Patent Office waiver issued August 5, 2003 in the Official Gazette, which states as follows:

> The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371

Sheila Littlehorn, et al.

Application No.: 10/811,298

Page 2

. }

after June 30, 2003. See 37 CFR 1.491(b).

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Melissa A. Haapala

Reg. No. 47,622

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

M2H:nmb

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1

	Complete if Known	SPE
Application Number	10/811,298	\ <u>0</u> \
Filing Date	March 26, 2004	JUN 2 1 2004 E
First Named Inventor	Littlehorn, Sheila	JUN
Art Unit	3673	√2 , €/
Examiner Name	Not yet known	Me rough
Attorney Docket Number	017242-012800US	and Co.

U.S. PATENT DOCUMENTS+					
Document Number					
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,036,865	08-06-1991	Keaton	
	A2	US-5,063,912	11-12-1991	Hughes	
	А3	US-5,182,828	02-02-1993	Alivizatos	
	A4	US-5,189,748	03-02-1993	Garrison et al.	
	A5	US-5,193,238	03-16-1993	Clute	
	A6	US-5,216,722	06-08-1993	Clute	
	A7	US-Des. 343,756	02-01-1994	Sher	
	A8	US-5,313,678	05-24-1994	Redewill	
	A9	US-5,331,699	07-26-1994	Patton et al.	
	A10	US-5,341,531	08-30-1994	Straub et al.	
	A11	US-5,347,669	09-20-1994	Neviaser et al.	
	A12	US-5,357,642	10-25-1994	Clute	
	A13	US-5,357,981	10-25-1994	Eilam et al.	
	A14	US-5,367,730	11-29-1994	Sher	
	A15	U\$-5,392,785	02-28-1995	Donahue	
	A16	US-5,450,640	09-19-1995	Patton et al.	
	A17	US-5,464,381	11-07-1995	Wilson	
	A18	US-5,479,667	01-02-1996	Nelson et al.	· · · · · · · · · · · · · · · · · · ·
	A19	US-5,499,418	03-19-1996	Tan et al.	
	A20	US-Des. 369,054	04-23-1996	Straub et al.	·
	A21	US-Des. 369,934	05-21-1996	Straub et al.	
	A22	US-5,581,832	12-10-1996	Bridley	
	A23	US-Des. 385,143	10-21-1997	Straub et al.	
	A24	US-Des. 389,359	01-20-1998	Nowak	
	A25	US-5,819,346	10-13-1998	Lane	
	A26	US-5,826,287	10-27-1998	Tandrup	
	A27	US-5,893,365	04-13-1999	Anderson	
	A28	US-Des. 408,676	04-27-1999	Straub et al.	
	A29	US-5,971,761	10-26-1999	Tillman, Sr.	-
	A30	US-6,054,926	04-25-2000	Deleo	- · · · · · · · · · · · · · · · · · · ·
	A31	US-Des. 432,846	10-31-2000	Parker et al.	
	A32	US-6,170,602 B1	01-09-2001	Mann	
	A33	US-6,321,403 B1	11-27-2001	Matthews	
	A34	US-2002/0120176 A1	08-29-2002	Coviello	
	A35	US-D465,686 S	11-19-2002	Hwong	
	A36	US-6,564,408 B2	05-20-2003	Van Vuuren	
	A37	US-2003/0145384 A1	08-07-2003	Stelnicki	
	A38	US-D481,247 S	10-28-2003	Roberts et al.	
-	A39				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

60240849 v1